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**The Arctic-Boreal Vulnerability Experiment –  
*Understanding Northern Ecosystems in  
Transition***

**ABOVE Science Definition Team**

Version 5 – 20 December 2013

17 **Forward**

18  
19 Climate change in the Northern High Latitudes is unfolding faster than anywhere else on Earth,  
20 resulting in widespread transformations in landscape structure and ecosystem function in the  
21 circumpolar arctic and boreal region. In addition to producing significant feedbacks to climate  
22 through changes in ecosystem processes and energy, water and carbon cycles, environmental  
23 change in this region is increasingly impacting society in many ways. Recognizing its sensitivity,  
24 vulnerability and global importance, national- and international-level scientific efforts are now  
25 advancing our ability to observe, understand and model the complex, multi-scale and non-  
26 linear processes that drive the regions natural and social systems. Long at the edge of our  
27 mental map of the world, environmental change in Northern High Latitude ecosystems is  
28 increasingly becoming the focus of numerous policy discussions at the highest levels of  
29 decision-making.

30  
31 Because of the rapid changes that are presently occurring, a significant amount of research is  
32 being carried out on Northern High Latitude terrestrial and aquatic ecosystems. A key  
33 component of these studies is the collection and analysis of a wide range of remotely sensed  
34 data (both airborne and spaceborne) that help quantify and understand ongoing changes to the  
35 Earth surface and adjacent boundary layer of the atmosphere. Recognizing the importance of  
36 remotely sensed data, NASA’s Terrestrial Ecology Program funded the development of a  
37 Scoping Study Report that provided the proof-of-concept demonstration of feasibility for a field  
38 campaign to study the vulnerability of arctic and boreal social-ecological systems to  
39 environmental change. This report was reviewed by an expert panel, which made several  
40 recommendations. These recommendations were the focus of a subsequent workshop that  
41 resulted in a revised Executive Summary for the Arctic-Boreal Vulnerability Experiment  
42 (ABoVE)<sup>1</sup>. The document presented here, which is based on the outcomes from these previous  
43 activities, represents the ABoVE Concise Experiment Plan that will guide NASA’s Terrestrial  
44 Ecology Program in funding the research for this field campaign.

45  
46 **ABoVE is a large-scale study of environmental change in the Arctic and Boreal Region of**  
47 **western North America and its implications for social-ecological systems.** The experiment plan  
48 outlines the conceptual basis for the field campaign and expresses the compelling rationale  
49 explaining the scientific and societal importance of the study. The experiment plan presents  
50 both the science questions driving ABoVE research as well as the study design that will address  
51 them. It defines ABoVE’s science objectives, broadly focused on 1) developing a fuller  
52 understanding of ecosystem vulnerability to environmental change in the western North  
53 America Arctic and Boreal Region, and 2) providing the scientific basis for informed decision-  
54 making to guide societal responses at local-to-international levels. The ABoVE campaign will  
55 involve linking field-based, process-level studies with geospatial data products derived from  
56 airborne and spaceborne sensors, providing a foundation for improving the modeling  
57 capabilities needed to understand and predict ecosystem responses and societal implications.

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<sup>1</sup> All materials related to the development of ABoVE can be found at the following URL: [above.nasa.gov](http://above.nasa.gov).

## **ABoVE Vision**

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60 *Over the past 100 years, the Northern High Latitudes have experienced more rapid*  
61 *climate warming than anywhere else on Earth, and this trend is expected to*  
62 *continue over the next century. Terrestrial and aquatic ecosystems in arctic and*  
63 *boreal regions are already undergoing changes in response to this warming, often*  
64 *proximally caused by rapid thawing of frozen ground (permafrost) and changes to*  
65 *disturbance regimes and surface hydrology. In turn, changes to the land surface*  
66 *can exert strong feedbacks to regional and global climate as well as impact the*  
67 *goods and services ecosystems provide, with far-ranging consequences for society.*  
68 *Although there is a considerable legacy from previous and ongoing research*  
69 *focused on the drivers and impacts of environmental change in arctic and boreal*  
70 *regions (including that sponsored by land management agencies), significant gaps*  
71 *in integrated knowledge compel additional research. ABoVE will provide the*  
72 *opportunity to expand and coordinate a set of focused, interdisciplinary research*  
73 *activities designed to further understand the causes and consequences change in*  
74 *the social-ecological systems of the arctic and boreal regions of western North*  
75 *America. The unique perspective gained from multi-temporal and spatially explicit*  
76 *data collected by remote sensing systems provides a practical means to monitor*  
77 *changes to ecosystems, extend field-based measurements and inform next*  
78 *generation modeling efforts. Given the size and remoteness of the Northern High*  
79 *Latitude regions, remote sensing observations improve scientific capabilities for*  
80 *investigating complex interactions across multiple spatial and temporal scales.*  
81 *When interpreted synergistically with the results from field-based observations*  
82 *and monitoring, research and modeling carried out by ABoVE will provide new*  
83 *scientific knowledge needed for society to develop policies and implement*  
84 *management strategies to address the impacts of environmental change across*  
85 *the circumpolar arctic and boreal region.*

## 86 1. Introduction

87  
88 The western North American Arctic and Boreal Region (WNAABR) contains vast expanses of  
89 tundra, boreal forest, and peatland – globally significant biomes whose unique properties make  
90 them particularly sensitive to environmental change. The sub-biome or ecoregion  
91 heterogeneity is considerable, ranging from densely forested lowlands to high arctic deserts to  
92 flat, poorly drained terrain covered by ponds, small lakes, and wetlands. With an average  
93 annual temperature less than 0°C, a significant portion of the WNAABR is underlain by  
94 permanently frozen ground (permafrost). Throughout this region, the cold, poorly drained  
95 ground conditions have resulted in the formation of large reservoirs of carbon in thick surface  
96 organic layers and frozen mineral soils. The streams and rivers in this region deliver significant  
97 inputs of freshwater, sediment, and dissolved organic matter to coastal oceans, which in turn,  
98 contribute to the regulation of oceanic ecosystems and processes. The terrestrial and aquatic  
99 ecosystems of the WNAABR provide habitat to a large number of fish, mammal, and bird  
100 species, with many migratory species using this region as their primary breeding ground.  
101 Although they are lightly populated and largely unmanaged by humans, the terrestrial and  
102 aquatic ecosystems of the WNAABR are critical to society in a number of ways. This region is a  
103 homeland to a complex array of Aboriginal groups, and contains vast natural resources of  
104 economic, cultural, and aesthetic value, which provide a wide range of ecosystem services at  
105 local, regional, national, and international scales. There is recognition from decision-makers and  
106 land managers at all levels that improved scientific knowledge on the impacts of climate and  
107 environmental change, along with an understanding of how society is responding to these  
108 changes, is imperative to inform development of sound policies and management strategies.

109  
110 While local and regional human activities (e.g., road development, natural resource exploration,  
111 and hunting) impact ecosystems in some places within the WNAABR, for the most part distinct  
112 changes to ecosystems are being driven by larger-scale processes related to changes in climate  
113 and disturbance regimes. Since 1960, the WNAABR (above 50° N) has experienced temperature  
114 increases of 0.3 to 0.4° C per decade. This rapid climate warming has been caused in part by  
115 physical feedbacks within the arctic/boreal system, where decreases in sea ice and snow cover  
116 have lowered surface albedo, enhanced absorption of shortwave solar radiation, and amplified  
117 regional warming. Significant changes to WNAABR ecosystems are being caused by both press  
118 and pulse disturbances. In this region, **press disturbances** associated with long-term climate  
119 change have impacts at decadal and longer time scales over large areas, including rapid  
120 permafrost thawing, changes to the hydrologic regimes (stream and river flow, lake and river  
121 ice phenology, surface water extent, and the frequency of droughts), seasonal plant phenology,  
122 and lengthening of snow-free periods. In contrast, **pulse disturbances** are one-time or shorter-  
123 term episodic events that occur at landscape to regional scales, including fires, biotic  
124 disturbance agents like insects and plant pathogens, and rapid permafrost thaw processes.  
125 Many areas of the WNAABR have experienced significant increases in the frequency and  
126 severity of pulse disturbances over the past half-century. In response to these disturbances,  
127 terrestrial ecosystems in many regions are undergoing significant changes, including shifts in  
128 vegetation cover, loss of permafrost, and changes to wildlife populations.

129

130 At local to landscape scales, some WNAABR ecosystems are resistant to the impacts of changes  
131 in press or pulse-disturbance regimes, while others are undergoing significant changes in  
132 response to these impacts. **Resilience** is the capacity of an ecosystem to maintain its function,  
133 structure and feedbacks in the face of a significant disturbance or perturbation. Resilient  
134 ecosystems recover to a similar pre-disturbance state because the internal ecological feedbacks  
135 that regulate system stability are robust. In other cases, internal, stabilizing feedbacks weaken  
136 or are disrupted, rendering ecosystems vulnerable to directional changes in structure and  
137 function. **Vulnerability** is the degree to which a system is likely to change in structure and  
138 function following a specific perturbation. Disturbances in vulnerable ecosystems may tip them  
139 into new states, where novel dynamics emerge.

140

141 Identification of these vulnerabilities is needed for predicting how changes in climate and  
142 disturbances will alter arctic and boreal ecosystems and landscapes, their role in the earth  
143 system, and the services they provide to society. In terms of quantifying these vulnerabilities,  
144 research is needed to improve our scientific understanding of: (1) what changes are occurring  
145 across the WNAABR landscape at multiple spatial and temporal scales; (2) the underlying  
146 processes driving these changes; (3) the impacts these changes are having on ecosystem  
147 services; and (4) how society is responding to the changes, which may influence future  
148 vulnerability. Addressing these four areas of investigation will provide the basis for developing  
149 the policies and management strategies needed to help mitigate and adapt to the changes that  
150 occurring to WNAABR ecosystems.

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## 2. Research Framework and Overarching Science Question

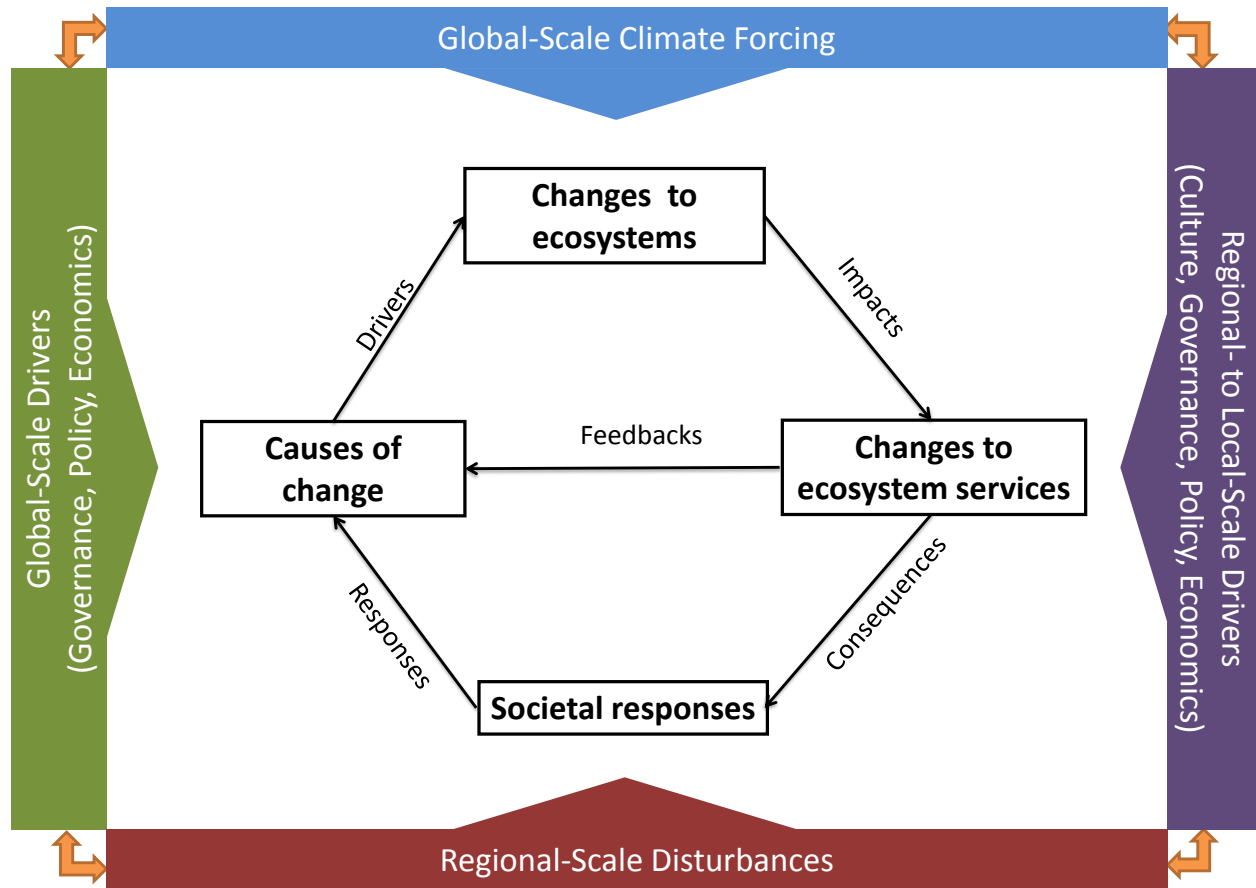
Research carried out during ABoVE will address key scientific questions and cross-cutting research objectives most critical for understanding the vulnerability of social-ecological systems to environmental change in the WNAABR. The amplified climate warming across this region, combined with the particularly sensitive structure and functions of Northern High Latitude ecosystems, have resulted in significant changes recorded on the landscape in recent decades. While studies observing these changes continue to be important, a more comprehensive consideration of the drivers, impacts, consequences and feedbacks, as well as the areal extent and specific locations of these changes are necessary for assessing the vulnerability of this region's ecosystems and their societal dependencies. ***It is not enough to simply document the observable changes to the landscape (diagnosis); rather the grand challenge is to better understand why these changes are happening (attribution) and what are the actual and potential consequences of these changes for society within and beyond the region (prediction).***

### The Vulnerability Research Framework

The science questions and objectives to be addressed during ABoVE are organized within a Vulnerability Research Framework (**Figure 2.1**). This framework provides the necessary holistic vision for a large-scale field campaign that places individual studies within a broader context, as well as providing a structure for developing synthetic, interdisciplinary and integrated assessments of vulnerability of social-ecological systems, change and response. Beyond observing and monitoring changes to ecosystem structure and function in the WNAABR, ABoVE research will further address questions of attribution through understanding the drivers of change, which is critical for projecting ecosystem change in the future. Accurate and reliable scenarios of future change are the key contribution needed by resource managers, policy-makers, and stakeholders at all levels. These projections must be provided at scales and information content that are appropriate for decision-making. The Vulnerability Research Framework views the observed and projected changes in ecosystem structure and function through the lens of their impacts on the services to society that these ecosystems provide. Determining the degree to which the WNAABR's ecosystem services are impacted will form the basis for considering the consequences of these changes for society – both within and beyond the region. Furthermore, how ecosystems change and society responds will in turn determine the future trajectory of WNAABR ecosystems. Thus, the various cascading effects and feedback pathways need to be addressed using an integrated framework that addresses the full interconnectedness and complexity of the system.

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**Figure 2.1.** Conceptual diagram of the research framework for organizing the science questions and objectives to be addressed by ABoVE. Overall changes to the social-ecological system (center boxes) within the ABoVE study domain (the western North American Arctic-Boreal Region – WNAABR) are being driven by a combination of global scale climate forcing that drive press disturbances (top arrows), regional-scale pulse disturbances (bottom arrows), and local to global-scale socio-economic processes (side arrows).



199  
 200

201 Changes to Northern High Latitude social-ecological systems are ultimately being driven by a  
202 combination of global-scale climate forcings, regional-scale disturbances, and changes to socio-  
203 economic conditions at local to global scales (Figure 2.1). Ecosystem structural and functional  
204 dynamics across the region are responding to global changes in radiative forcing, atmospheric  
205 temperature, humidity and precipitation; relative to the rest of the Earth, an amplified climate  
206 warming signal at northern high latitudes was predicted and has been well-documented.  
207 Superimposed on this, regional- and local- scale landscape change is being driven by new and  
208 intensified disturbance events and regimes such as wildfire, rapid permafrost thaw, and biotic  
209 disturbances, along with human infrastructure development and resource extraction activities.  
210 At a local to regional scales, societal responses are not only driven by changes to ecosystem  
211 services, but by culture, global and regional economic forces, political systems, and changing  
212 demographics. In turn, decisions made by society in response to environmental change will  
213 impact both climate and disturbance regimes.

214  
215 Substantial changes to the physical landscape and ecological functioning have been  
216 documented across the WNAABR in recent decades. Physical impacts on the terrestrial  
217 cryosphere are manifest in increasing permafrost temperatures, altered freeze / thaw cycles,  
218 and mass wasting and other landform changes resulting from permafrost degradation.  
219 Hydrological cycles have been altered through changing patterns in precipitation, vapor  
220 pressure deficit, surface water extent, river discharge rates, sediment loads, and snow extent  
221 and depth. Large-scale biological impacts have been observed in the form of changes in the  
222 abundance and composition of plant and animal communities, and in the timing of life history  
223 events (phenology). Also driven by climate change, both tundra and boreal forest ecosystems of  
224 the WNAABR have experienced increased frequency and severity of wildfire and other biotic  
225 disturbances such as insect outbreaks.

226  
227 The rapid changes observed in the structure and function of WNAABR ecosystems have realized  
228 and potential impacts on key ecosystem services. The region's terrestrial and aquatic  
229 ecosystems supply important **provisional services** to society, including freshwater, food, fuel,  
230 wood and fiber. The vast areas of wilderness found throughout the WNAABR along with bird,  
231 fish, and wildlife species represent important **cultural services**, supporting a wide range of  
232 educational, spiritual, and recreational activities and are central to subsistence lifestyles. The  
233 frozen ground, lakes, and rivers in this region provide critical **supporting services**, allowing for  
234 stable building infrastructure and winter-time transportation networks for local communities as  
235 well as in support of mineral, oil, and gas resource development. WNAABR terrestrial  
236 ecosystems provide critical **regulating services** such as flood control and climate change  
237 mitigation, through their role in water, carbon, and energy cycling between the land and  
238 atmosphere. Within the WNAABR, flora and fauna represent important provisioning and  
239 cultural resources; both global-scale climate forcing and regional-scale disturbances are  
240 changing their habitat, abundance, health, phenology and migration patterns. Human  
241 infrastructure and transportation relies heavily on the supporting service of stable ground,  
242 which is threatened by warming-driven permafrost degradation and coastal erosion caused by  
243 sea ice loss and increasing storm surges. Carbon sequestration and storage in the vegetation  
244 and soils of WNAABR ecosystems benefits global society through climate change mitigation.



245 Carbon sequestration in WNAABR vegetation may be enhanced under future climate change by  
246 warmer temperatures, longer growing seasons, and increased levels of CO<sub>2</sub> in the atmosphere.  
247 On the other hand, carbon release from WNAABR soils may be expected to increase as  
248 permafrost thaws and other disturbances occur with greater frequency and severity. How  
249 climate change and disturbance will influence future amounts and movement of contaminants  
250 and pollutants in these environments also has consequences for human health and the quality  
251 of ecosystem services.

252  
253 Altered provisioning of services directly impacts the vulnerability of human communities in the  
254 region and beyond, and how society responds to these changes will determine the future  
255 trajectories of change. WNAABR communities have a reputation of being highly resilient based  
256 on a long history of successful adaptation to environmental and technological change.  
257 However, recent decades have brought historically unprecedented rates of social, climate and  
258 environmental change to the WNAABR, as well as rapid economic development and increased  
259 connectivity with outside regions. In developing responses to these changes, people face great  
260 uncertainty about future conditions and the reliability of ecosystem services. Different people  
261 and communities may respond in different ways to a common environmental change, both  
262 because they place different values on particular ecosystem services and because they have  
263 differing options for adaptation. Responses are often mediated through formal and informal  
264 institutions (e.g., governments, kinship ties, social networks, shared cultural norms, etc.).  
265 Responses are also mediated by economic factors (cost of living, cost of moving, availability of  
266 jobs for cash) and by public policy.

267

## 268 **Overarching Science Question and Objective**

269

270 Within the context of the Vulnerability Research Framework, the studies conducted as part of  
271 ABoVE will focus on developing an improved understanding of the drivers, impacts,  
272 consequences and responses of environmental change in the WNAABR. The complex  
273 interdependencies and feedbacks across the components of this framework are reflected in the  
274 ***overarching science question*** that will guide ABoVE research:

275

276 ***How vulnerable and resilient are ecosystems and society to environmental change in the***  
277 ***Arctic and Boreal region of western North America?***

278

279 Within this framework, ABoVE will address specific science questions focused on the complex  
280 interactions of social-ecological systems in the WNAABR. Addressing these questions requires  
281 an integrated research approach based upon the following ***overarching research objective***:

282

283 ***To understand how complex interactions control vulnerability and resilience in arctic and***  
284 ***boreal ecosystems of western North America, and how changes in these interactions impact***  
285 ***human societies within and beyond this region.***

286

287 Recognizing that such a study needs to consider the complex interactions and feedbacks within  
288 and between research focus areas, integration and synthesis across the study is a key research  
289 objective for ABoVE. Studying the impacts of environmental change on ecosystem services  
290 within this Vulnerability Research Framework represents the critical bridge between  
291 environmental change and how people within and beyond the WNAABR are affected by and  
292 respond to this change. Ecosystem services are closely linked to the major components  
293 determining the structure and function of WNAABR ecosystems. These components are  
294 captured by the six *focus areas* for ABoVE research: society, disturbance, permafrost,  
295 hydrology, biogeochemical cycling of soil carbon, and flora and fauna. These focus areas, while  
296 not exclusive, represent the organizing elements for the set of second tier science questions  
297 and their associated research objectives that will be address during through ABoVE, as  
298 discussed in Chapter 3 below.

299 **3. Research Focus Areas**

300  
301 Research carried out during ABoVE will address six specific science questions that focus on  
302 addressing key uncertainties in the response of WNAABR social-ecological systems to climate  
303 and environmental change. The scientific goals for ABoVE are presented as research objectives  
304 in addressing each of these questions (Table 3.1), most of which involve the study of complex  
305 interactions that control social-ecological systems, and provide the basis for an integrated  
306 research strategy required to assess the impacts of climate and environmental change in the  
307 arctic and boreal region of western North America

308  
309  
310 **How are environmental changes affecting natural and cultural resources, human**  
311 **health, infrastructure, and climate regulation, and how are human societies**  
312 **responding?**

313  
314 ***Rationale*** – Landscapes and ecosystems in the WNAABR are experiencing accelerated rates of  
315 anthropogenic impacts, both indirectly from climate change and directly from human activities.  
316 People have lived in and influenced the WNAABR ecosystem since the end of the Pleistocene,  
317 creating a vast cultural landscape and a complex social-ecological system. Today, this system  
318 encompasses a range of human activities common to WNAABR aquatic and terrestrial  
319 ecosystems, including commercial fisheries, subsistence, tourism, recreation, mining, energy  
320 development, and development and maintenance of community and industrial infrastructure.  
321 The circumpolar arctic and boreal region is home to millions of indigenous and non-indigenous  
322 people who directly derive numerous benefits from ecosystems (food, clean water, clean air,  
323 disease management, sense of place, erosion control, etc.). However, this region also contains  
324 significant forest, oil, gas, and mineral resources that provide the opportunities for economic  
325 development. In many cases, the extraction of these resources depends upon development of  
326 winter roads that cross frozen ground, lakes and rivers, a unique supporting ecosystem service.  
327 Finally, variations in a large number of Northern High Latitude ecosystem processes result in  
328 significant feedbacks to the regional and global climate, thus representing an important global-  
329 scale regulating ecosystem service.

330  
331 The demand for ecosystem services and natural resources is increasing throughout the  
332 WNAABR, and current and future environmental change will significantly affect ecosystems,  
333 people, and their interdependencies. In many cases, there are significant tradeoffs between  
334 different land uses that are directly reflected in the ecosystem services WNAABR landscapes are  
335 providing. For example, how do exploration activities that are dependent on winter roads  
336 impact wildlife populations? How will these impacts change if all-weather roads are constructed  
337 to provide access to exploration areas? Understanding the consequences of different land uses  
338 within the context of a landscape that is rapidly changing in response to environmental change  
339 presents a key challenge to decision makers in the WNAABR.

340

341 WNAABR landscapes and their ecosystem services are foundational for cultural identity and  
342 continuity – they are not just aesthetic amenities. For example, 60% of Alaska lands are under  
343 the management of a number of federal government agencies which are mandated by law to  
344 identify and protect cultural resources, many of which have deep-rooted ties to nearby  
345 communities. These agencies are also required to consult with Alaska Native entities regarding  
346 the protection of these non-renewable resources. In a similar fashion, Aboriginal Peoples share  
347 responsibilities for co-governance with federal and territorial governments in northern Canada,  
348 and have considerable input in all land-use decisions occurring with their settlement areas.  
349 Understanding impacts on and responses of human societies requires an understanding of past,  
350 present, and future landscape and societal changes.

351  
352 Additionally, environmental changes in the WNAABR will have significant impacts at scales  
353 beyond the local and regional levels. The abundance of natural resources in the ABR creates  
354 opportunities for the use and distribution of additional ecosystem services both locally and  
355 beyond, but the potential substantial losses of carbon sinks in vegetation and soil will result in a  
356 loss of the globally realized ecosystem service of climate regulation. Local changes are the  
357 result of both large-scale exogenous processes (e.g., global climate change, global market  
358 forces) and local to regional-scale processes (e.g., land use decisions, community-level  
359 ecological dynamics). Feedbacks among both social and ecological subsystems can be positive  
360 (self-reinforcing) or negative (self-attenuating). Responses in one sub-ecosystem can have  
361 effects on adjacent sub-ecosystems and the larger-scale ecosystem. Consideration of the  
362 historical drivers of landscape change (i.e. interpreting patterns of change that led to current  
363 conditions) can add time-depth to such spatially focused research. Therefore, it is important to  
364 consider interactions both between systems and across scales. The effects are often nonlinear,  
365 and hence they may be abrupt and/or not easily anticipated. Given these complexities and the  
366 rate of current environmental change in the ABR, there is high potential for large impacts on  
367 livelihoods and regional economic activity throughout the WNAABR and beyond.

368  
369 While environmental change in the ABR is having significant impacts on a wide range of  
370 ecosystems services, research on social-ecological systems during ABoVE will focus on the  
371 following realms where WNAABR social-ecological systems are particularly vulnerable to the  
372 impacts of environmental change:

- 373
- 374 1. Distribution, abundance, access to and use of natural resources for provisioning and  
375 subsistence ecosystem services;
  - 376
  - 377 2. Direct and indirect effects on human health (e.g., disease vectors, food availability,  
378 mental health from fate control and intact culture, etc.); and
  - 379
  - 380 3. Rapid direct and indirect effects on hydrology, permafrost, and ice which impact  
381 infrastructure and landscapes (buildings, roads, airports, frozen rivers) and cultural  
382 heritage (practices, traditions, language, historically important places).
  - 383

384 These three areas were selected because the societal impacts and responses in each are  
385 directly related to significant ongoing environmental change in the ABR, including changes to  
386 disturbance regimes, permafrost, hydrologic systems, and the flora and fauna endemic to  
387 northern high latitude ecosystems. These relationships provide a strong linkage to the research  
388 being conducted to address the other ABoVE science questions discussed in this chapter.

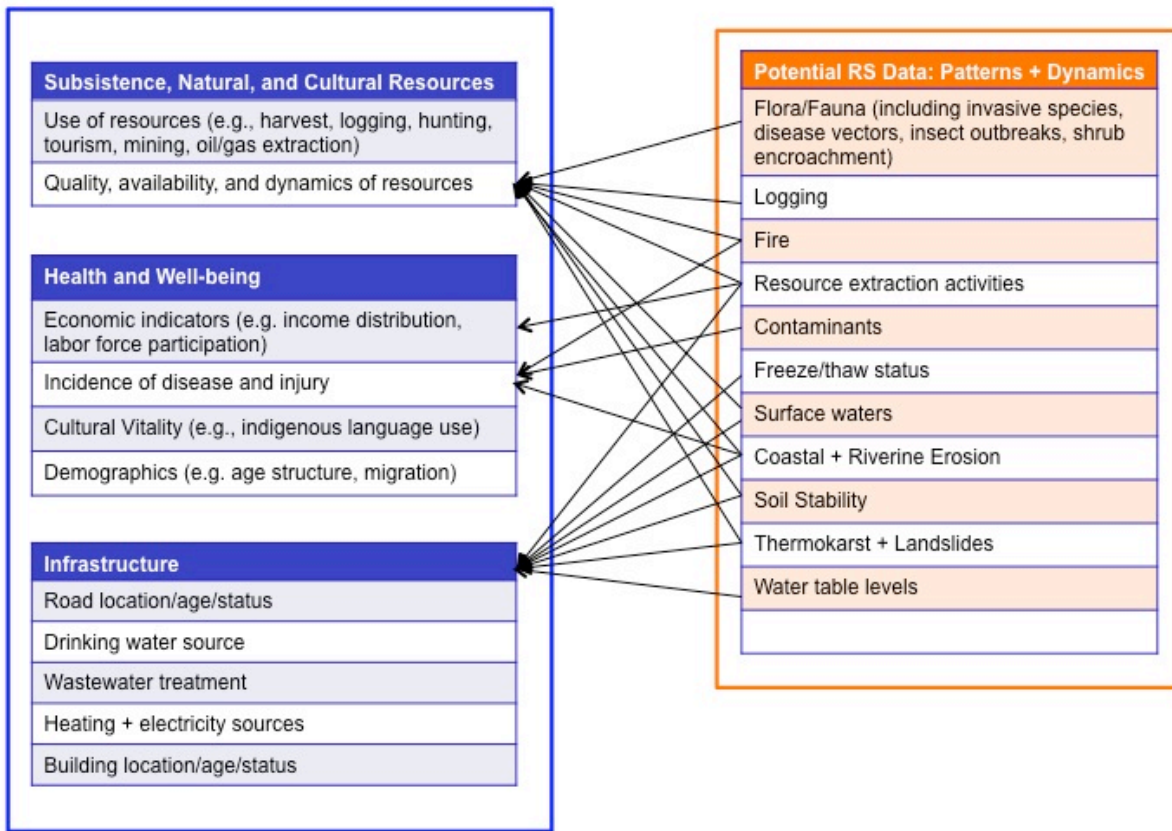
389  
390 **Key Research** – In addition to research on the ecosystem services identified in this focus area,  
391 significant research carried out for the other ABoVE focus areas discussed in the following  
392 sections of this chapter will directly address the role that WNAABR regions serve as a regulating  
393 service to the Earth’s climate system. Research on feedbacks to climate discussed in the other  
394 focus areas includes changes to water and energy exchanges between the land and atmosphere  
395 as part of the hydrologic cycle, changes to surface albedo from changes in vegetation and snow  
396 cover, and increased releases of carbon dioxide and methane presently stored as soil carbon.

397  
398 Improving the understanding of the impacts of environment change on provisioning,  
399 subsistence, natural resources, human health, infrastructure and culturally important places  
400 will require interdisciplinary research approaches integrating socio-economic data with data on  
401 relevant landscape patterns and processes. This research will involve developing approaches for  
402 effectively engaging a range of stakeholders (from both the private and governmental sectors),  
403 ranging from individuals, to local communities, to regional to national). Environmental and  
404 climatic change in many parts of this region where people live is complex, requiring research  
405 on integrated biological, physical, and cultural processes. Coupled with socio-economic data,  
406 this research will require observations of landscape and ecological processes at multiple scales  
407 to investigate how these changes are affecting human societies. Research on the impacts of  
408 climate change on landscapes and ecosystems will carried out through addressing the questions  
409 and objectives for the other focus areas discussed in this chapter.

410  
411 Baseline socio-economic data will be needed. Ideally, panel surveys such as the Survey of Living  
412 Conditions in the Arctic (SLiCA) would be repeated for specific regions within the WNAABR in  
413 order to follow people in the sample over time as they respond to environmental change. Since  
414 this approach is beyond the scope and capacity of the ABoVE field campaign, these data need  
415 to be obtained through some combination of existing or concurrent “conventional” social  
416 science research and additional contributions of capabilities that are special to NASA.

417  
418 The information derived from satellite- and airborne-based remote sensing systems to address  
419 the questions and objectives for the other ABoVE focus areas will provide the means necessary  
420 to assess changes to key landscape characteristics that directly impact ecosystem services  
421 (Figure 3.1). Research is needed, however, to develop geospatial information products derived  
422 from remotely sensed data that can be used to directly assess the vulnerability of specific  
423 ecosystem services. In many cases, creating unique products will require the integration of  
424 remotely sensed products with other information needed to assess the vulnerability of a  
425 specific ecosystem service (for example, the integration of maps of vegetation cover with  
426 information on the seasonal ranges of specific wildlife species such as caribou). Research is also  
427 needed to develop the best practices in transforming the results of scientific research on the

428 impacts of climate change into information products suitable for engaging and informing a  
429 broad range of stakeholders in the WNAABR. Finally, research is needed to determine how  
430 improved information resulting from ABoVE is used by stakeholders to address the actual and  
431 potential impacts of environmental change in the WNAABR. To succeed in carrying out research  
432 in these areas will require developing collaborations with a range of stakeholder groups that  
433 are either directly being impacted by environmental change or who have management and  
434 policy making responsibilities that are based on actual and projected impacts of climate change.  
435



436  
 437 **Figure 3.1.** *The linkage of information provided through the analyses of remotely-*  
 438 *sensed data to key ecosystem services in the WNAABR that will be studied during*  
 439 *ABOVE.*

440  
 441  
 442 **What processes are contributing to changes in disturbance regimes and what**  
 443 **are the impacts of these changes?**

444  
 445 **Rationale** – Although disturbances such as fire, biotic disturbance agents (including insects and  
 446 plant pathogens), and permafrost-thaw events (here termed thermokarst) have been part of  
 447 the historic disturbance regimes of arctic and boreal ecosystems, there is mounting evidence  
 448 that their frequency, severity, and area affected are increasing in response to recent climate  
 449 warming. At local to sub-regional scales, anthropogenic activities, especially those associated  
 450 with exploration, resource extraction, and infrastructure construction are also impacting  
 451 terrestrial ecosystems and the services they provide. Since these disturbances trigger a variety  
 452 of responses in ecosystems and landscapes, the degree to which changes in disturbance  
 453 regimes influence the vulnerability and resilience of social-ecological systems is central to  
 454 determining how Northern High Latitude biomes are responding to climate change. Because of  
 455 the large cumulative area impacted by disturbances and the rapidity of their effects, they are in



456 many cases the most proximal agent for initiating changes to arctic and boreal ecosystems and  
457 landscapes. Land management agencies across the WNAABR not only require information on  
458 historical and current patterns of disturbance, but need to understand how key disturbance  
459 regimes are likely to change in the future.

460  
461 Across the North American boreal forest, average annual area burned has increased over the  
462 past half-century. Late-season burning in Alaska has risen over the past decade, which in turn,  
463 has resulted in more severe fires. In particular, there has been an increase in deeper burning of  
464 surface organic soils, which in turn, reduces soil carbon stocks, causes more rapid warming of  
465 permafrost, and alters post-fire succession. The occurrence of large fires may also be increasing  
466 in tundra. While further climate warming is likely to increase potential for burning, changes in  
467 vegetation type, at least in boreal forests, will have a negative feedback on fire activity. Based  
468 on current understanding, it is challenging to predict future changes to the WNAABR fire regime  
469 and their subsequent impacts on ecosystems, society, and climate.

470  
471 Biotic disturbance agents like insects and plant pathogens are likely to respond rapidly to  
472 climate change in the WNAABR. Unlike in some regions to the south, current evidence suggests  
473 that the impacts of these agents will become more severe in the WNAABR. For example,  
474 because pathogens can adapt to new climate conditions faster than the hosts, the vulnerability  
475 of shrubs and trees to disease is likely to increase with amplified climate warming. Many insect  
476 species also respond rapidly to environmental change due to their genetic variability, short life  
477 cycle, mobility, and high reproductive potential. Because of their physiological sensitivity to  
478 temperature, changing climate can be expected to strongly influence the survival,  
479 development, reproduction, dispersal, and geographic distribution of plant pests and their  
480 hosts. Plant susceptibility to biotic disturbance agents also interacts with a variety of  
481 climatically-induced inciting factors, including stress caused by changes in hydrologic regimes  
482 (particularly increased drought stress) and other complex host interactions that are difficult to  
483 forecast. Understanding factors controlling insects and pathogens and other disturbances is  
484 particularly important in the southern boreal forest where harvesting of wood products  
485 represents an important economic driver for local communities.

486  
487 In many regions of Alaska and northwest Canada rapid permafrost thaw is on the rise as shown  
488 by observations of increased observance of thaw slumps, formation of new thermokarst lakes  
489 and ice wedge ponds, collapse of peat plateaus, and rapid lake drainage due to permafrost loss  
490 or near-surface degradation. These changes are occurring across the arctic at different rates  
491 controlled by variations in ground ice content, geomorphology, and vegetation, and are  
492 consistent with borehole-measured permafrost temperatures that have sharply increased in  
493 northern arctic areas by several degrees Celsius over the last three decades. Impacts of rapid  
494 permafrost thaw are also ongoing in boreal ecosystems with ice-rich permafrost. Changes to  
495 permafrost are already affecting important WNAABR ecosystem services, including damage to  
496 infrastructure and shortening the length of time available for winter transportation to remote  
497 areas.  
498



499 Variations in disturbance severity controlled by vegetation cover, topography, soils and ground  
500 ice content and distribution control the manner in which WNAABR ecosystems are changing as  
501 well as creating ecological heterogeneity at scales that vary from tens to thousands of meters.  
502 Even within individual stands of similar vegetation and soil characteristics, disturbance severity  
503 often varies at scales of 1 to 10 m, imparting fine-scale heterogeneity. Ultimately disturbances  
504 have a major influence on land-atmosphere exchange of energy, water, and carbon (CO<sub>2</sub> and  
505 CH<sub>4</sub>) as well as lateral fluxes of water, nutrients, contaminants, and carbon. The dominance,  
506 form, and function of these features are also likely to change as climate does, influencing  
507 ecosystem processes. Studies are needed at all these scales to understand the impacts of these  
508 various types of natural disturbance.

509  
510 **Key Research** – Research is needed to refine and validate a wide range of models to account for  
511 factors that control the occurrence of disturbances at landscape to regional scales and  
512 represent the impacts of disturbances on ecosystem processes. This research will include  
513 landscape to regional scale observations of disturbance area and severity derived from  
514 remotely sensed data, as well as from land-management records and paleo proxies. While  
515 information on the areas disturbed by fire and some biotic disturbance agents are available  
516 from records maintained by land management agencies, the use of remote sensing data  
517 provides improved information on actual area disturbed, the timing of disturbances events, and  
518 the severity of the disturbances. Additional research is needed to develop and validate  
519 remotely sensed disturbance products across the WNAABR, in particular for insects, disease,  
520 and changes in landforms associated with rapid permafrost thaw.

521  
522 Assessing factors controlling disturbance regimes will also require geospatial data on critical  
523 land characteristics (vegetation cover and condition, permafrost characteristics including  
524 temperature and ice content, active layer depth, soil moisture, surficial geology, topography,  
525 weather and climate). Ground-based observations at plot scales stratified across disturbance  
526 severity and the biotic and abiotic conditions at the time of disturbance are needed to quantify  
527 disturbance severity, the controls on severity, as well as understand the immediate impacts on  
528 ecosystems. Observations across sites and landscapes that differ in time after disturbance, as  
529 well as abiotic conditions (including remotely-sensed data), are needed to understand the  
530 consequences of past disturbances for ecosystem and landscape processes as well as to assess  
531 whether and how current disturbance regimes and their impacts differ from that occurred  
532 during past periods of rapid change. Ground-based observations are also needed to further  
533 develop and validate disturbance products from remotely sensed data. Long-term change in  
534 disturbance regimes can only be identified by comparing recent (i.e., the last 30 to 50 years)  
535 trends to historical records of disturbance, including regional stand age structure and paleo-  
536 ecological reconstructions from tree rings and sediment records. Analysis of paleo data can also  
537 provide critical information on the ambient conditions at the time of disturbance, but also on  
538 longer-term changes to community composition.

539  
540

541 **What are the changes in the distribution and properties of permafrost and what**  
542 **is controlling those changes?**

543  
544 **Rationale** – Arctic tundra and boreal forests are distinct biomes because of the dominating  
545 influence of snow, ice and frozen ground. The role of the cryosphere in the WNAABR makes this  
546 region especially sensitive to climate warming. Changes to these key components of the  
547 cryosphere are expected to have major and potentially irreversible consequences for social-  
548 ecological systems at multiple scales. All arctic tundra in the WNAABR land area is underlain by  
549 continuous permafrost, with substantial permafrost in the boreal forests of this region lying in  
550 the discontinuous and sporadic permafrost zones. Many landscapes in the WNAABR have  
551 already experienced a marked degradation of permafrost, which is expected to increase in the  
552 near future. Studying the forces driving changes in the state of permafrost and their  
553 consequences for ecosystems and society are therefore key research priorities.

554  
555 Permafrost dynamics exert strong control on energy, water, and biogeochemical cycling, along  
556 with vegetation and disturbance processes, and are themselves driven by feedbacks with these  
557 ecosystem processes. Above permafrost, the seasonal active layer influences surface hydrology,  
558 vegetation cover and rooting zone depth, the severity of fire disturbances, and biogeochemical  
559 cycling. Permafrost and active layer characteristics are variable across spatial scales – while  
560 dominated by long-term climatic conditions, they are also regulated by a host of interacting  
561 local factors. Important consequences of rapid permafrost thaw and active layer change include  
562 potential soil carbon release, surface subsidence and hydrological change, and changes in  
563 vegetation cover.

564  
565 The vulnerability and resiliency of permafrost to rapid thaw has significant consequences for  
566 society – both within and beyond the WNAABR – through impacts on ecosystem services.  
567 Permafrost strongly regulates surface water distribution and wildlife habitat, both of which are  
568 connected to key provisioning and subsistence services for the people of the WNAABR. Frozen  
569 ground supports infrastructure, transportation and other services that local communities rely  
570 on. Pan-arctic permafrost stores an enormous quantity of frozen soil organic carbon that is  
571 protected from release to the atmosphere – thus providing a critical climate regulation service  
572 for global society. The fate of the thawing permafrost landscape, along with associated changes  
573 in ecosystem structure and function, represents a critical uncertainty in projecting greenhouse  
574 gas feedbacks to future climate.

575  
576 **Key Research** – Research to address this question will leverage existing process studies and  
577 monitoring networks designed to observe and quantify changes in the key indicators of  
578 permafrost condition. Previous field studies and existing, ground-based permafrost and active  
579 layer monitoring networks have advanced our understanding of the basic processes regulating  
580 the local formation and degradation of permafrost. However, observations also show that the  
581 rates of permafrost warming have not been uniform in time and space, indicating that  
582 permafrost is more vulnerable in some regions than others. ABoVE will develop a framework  
583 that integrates remote sensing and model development to scale local-to-landscape information

584 on key system drivers and indicators to a broader understanding of regional-to-global  
585 consequences.

586  
587 While characteristics of permafrost cannot be directly detected by remote sensing systems  
588 (with the exception of airborne electromagnetic resistivity measurements), information on a  
589 number of land surface characteristics that regulate near-surface permafrost dynamics can.  
590 During ABoVE, observations from satellite, airborne and ground-based remote sensing systems  
591 will be integrated to monitor and quantify these key land surface characteristics as well as key  
592 indicators of permafrost thaw and associated landscape-scale impacts. The temporal and  
593 spatial variation in the major driving factors of permafrost thaw and thickening of the active  
594 layer – such as freeze / thaw cycles, albedo, snow cover, patterns of vegetation cover and  
595 vegetation change, disturbance occurrence and severity, surface water coverage, and soil  
596 moisture – will be characterized over the WNAABR using a number of satellite and airborne  
597 remote sensing data and products. Studies of the indicators and impacts of permafrost thaw  
598 across the landscape – including ground subsidence, mass wasting, and lake formation or  
599 drainage – will also be carried out using high-resolution satellite and airborne remote sensing  
600 systems.

601  
602 Remotely sensed observations will be used in conjunction with field-based measurements to  
603 understand driving processes and aid in the development of inputs for physical models  
604 projecting spatial and temporal patterns and future conditions of permafrost and active layer  
605 dynamics. Improving the representation of fundamental processes in these models will require  
606 integration, synthesis and scaling of field-based studies strategically sampled from different  
607 landforms and vegetation cover located across the major permafrost zones and encompassing  
608 variation in ice content and disturbances. The field-based studies will include static and  
609 dynamic measurement of depths and bulk densities of organic and mineral soils (in both the  
610 active layer and frozen ground), permafrost temperature and other physical properties, ground  
611 ice and liquid water content, seasonal active layer depths, vertical and lateral ground  
612 temperature and moisture profiles, seasonal and long-term thaw subsidence and frost heave,  
613 as well as vegetation cover, seasonal snow depths and snow water equivalent. While short-  
614 term observations are sufficient for some of these variables, others will require repeated or  
615 continuous observations. Permafrost models will be validated using existing longer-term  
616 records of permafrost temperature and active layer depth, as well as new observations of  
617 active-layer temperature and moisture and frozen ground ice content.

618  
619

620 **What are the causes and consequences of changes in the amount, temporal**  
621 **distribution, and discharge of surface and subsurface water in the ABR?**

622

623 *Rationale* – The hydrologic cycle in High Northern Latitudes regions is dominated by winter water  
624 storage as snow, followed by high rates of runoff and stream and river flows in spring, and generally  
625 lower flows in summer and fall. Lakes, ponds and wetlands (that provide extensive habitat for fish,  
626 birds and other wildlife) are abundant on the landscape. Across the WNAABR, annual precipitation

627 (P) is nearly equally partitioned between rain and snow, with excess water above  
628 evapotranspiration (ET) being either stored as snow, surface water, and soil and groundwater or  
629 exported as stream and river flow to the Bering Sea and Arctic Ocean, where these inputs are  
630 particularly important in regulating coastal ocean processes. The hydrology of the WNAABR also  
631 influences land-atmosphere and water-atmosphere interactions and feedbacks that involve water,  
632 carbon dioxide, methane, and energy exchange, and a range of ecosystem processes. With respect  
633 to vegetation, the impacts of drought on productivity and mortality are particularly important.  
634 Intensification in fluxes of P, ET, and runoff are expected manifestations of a warming climate.  
635 Warming is also projected to lead to a shift from a surface-water dominated to a more groundwater  
636 dominated system, a transition that may alter the timing and decrease the amount of runoff.

637  
638 Changes to hydrology in the WNAABR will impact ecosystem services by influencing water quantity  
639 and quality, transportation via rivers, fish and wildlife that provide the foundation for subsistence,  
640 as well as cultural, educational, and recreational experiences. Understanding factors controlling  
641 spring breakup of rivers and formation of ice jams is particularly important to the numerous  
642 NWAAB communities located immediately adjacent to rivers that are vulnerable to spring flooding.

643  
644 A key and unique element of the WNAABR hydrologic system is the widespread presence of  
645 permafrost, and the fact the permafrost is undergoing rapid warming will to a large degree control  
646 the vulnerability of hydrologic systems. Permafrost influences infiltration, lateral runoff,  
647 groundwater flow, and associated soil groundwater storage. It is hypothesized that thawing  
648 permafrost will lengthen hydrologic flow paths and residence times, thus affecting water quality  
649 and the rate of biogeochemical processing of carbon, nutrients, and contaminants. Decreased  
650 permafrost extent has been linked to increased infiltration and subsurface flow, increased organic  
651 carbon mineralization (carbon dioxide or methane production), decreased organic carbon export,  
652 and increased inorganic carbon export across boreal and arctic regions. In most hydrologic systems,  
653 residence times are considered to be the travel times along surface and sub-surface flow paths;  
654 however, the WNAABR is unusual in having a long winter season during which water is temporarily  
655 stored as river and lake ice, snow, and frozen soil moisture. The period when water is frozen  
656 increases water residence times by months and impacts the timing of surface water export, if not  
657 the total export. The aquatic biogeochemical processing of carbon and nutrients is also slowed  
658 dramatically during the winter. These cryospheric delays introduce a timing mechanism into the  
659 material export system that is poorly understood, and is potentially critical to controlling ecosystem  
660 structure and function.

661  
662 The unusual temporal-spatial distribution of water in the WNAABR has thermal as well as  
663 hydrologic impacts, and provides strong feedbacks to and regulation of climate. The snow that  
664 covers the ground from October through May not only represents half of the annual surface runoff,  
665 but also is an efficient thermal insulator and reflector of shortwave radiation that controls the  
666 surface energy balance. Snow insulating properties have a major impact on winter soil freezing and  
667 permafrost temperature and distribution. In addition, local distribution and depth of snow, is  
668 influenced by the type and structure of vegetation. When the snow falls, how it falls, and how long

669 it stays has profound implications for WNAABR hydrology and ecosystem structure and function has  
670 to be considered as an integral part of the system.

671  
672 Characterizing the spatial distribution of water and the amount and timing of water discharge  
673 across the WNAABR poses major challenges. While precipitation inputs and permafrost state are  
674 key controls on the spatial distribution and timing of water movement, other more local controls  
675 and how they may be modified are less clear. For example, the amount and concentration of  
676 materials (nutrients, inorganic and organic carbon, mineral and organic particulates, and  
677 contaminants) exported from a given watershed are controlled by the timing and magnitude of  
678 surface runoff and river flows, which in turn are controlled by local precipitation and soil surface  
679 conditions. In addition, erosion of thaw slumps from rapidly warming permafrost adjacent to  
680 streams and rivers also control patterns of material export. Surface waters also influence the  
681 carbon cycle through the exchange of gases between the land and atmosphere. Unlike terrestrial  
682 ecosystems that are spatially and temporally variable sources or sinks of carbon dioxide and  
683 methane, lakes, streams, and rivers are all net sources of these greenhouse gases (GHG) to the  
684 atmosphere, and commonly exhibit gas flux densities that far exceed terrestrial GHG fluxes.

685  
686 **Key Research** – Regional surface water extent and soil moisture can be quantified using a number  
687 of different sensors and approaches, but estimates at finer spatial and temporal resolutions are  
688 needed. Understanding changes to the hydrologic system across the WNAABR and the primary  
689 controls on these changes will require observations and modeling targeted at the major storages  
690 and fluxes. Critical measurements for this research will include soil moisture, precipitation, snow  
691 depth and snow water equivalent, stream flow, and the extent and temporal variability of surface  
692 water distribution. A need is to observe the state and distribution of the hydrologic system (and  
693 water in its various phases) on a year-round basis, with particular attention to the shoulder seasons  
694 when water is changing phase. Research is required at a number of sites to provide the needed  
695 gradients to understand how different processes control surface and groundwater hydrology,  
696 including climate, permafrost, land-cover type, ecosystem dynamics and disturbance, with many of  
697 these observations being provided through analysis of remotely sensed data. Water chemistry and  
698 stable isotope measurements are needed across targeted catchments and should include  
699 observations from precipitation, snowpack, surface water, and ground water. Hydrologic  
700 observations at research sites should include baseline residence time estimates for soil and ground  
701 water pools. High-resolution satellite imagery and airborne LIDAR are needed to investigate effects  
702 of thermokarst and thermal erosion on surface and subsurface flows. Other measurements  
703 including concentrations and exports of organic matter, major ions, and sediment load are needed  
704 to quantify bulk materials exports. Measurements from aircraft and satellite-based instruments at a  
705 range of spatial scales are needed to quantify areas of saturated surfaces and inundation,  
706 particularly along riparian zones near rivers and streams. Water isotope measurements can help to  
707 quantify water sources, rates of transfer and storage residence times. Fine-scale topography, land  
708 cover, and soils data are among other key observations. Surface water characteristics derived from  
709 satellite remote sensing data include longer-term patterns of the number of small ponds and lakes  
710 and their area (using Landsat TM and spaceborne SAR), mapping of surface water extent and

711 inundation (using data from spaceborne SARs, MODIS, AMSR-E), detection and mapping of floods  
712 (using MODIS and SAR data), and mapping of soil moisture (using data from airborne and  
713 spaceborne SARs, microwave radiometers, including SMAP). At research sites with flux towers,  
714 measurement of ET will help close the water budget for select watersheds. Measurements of snow  
715 depth, density, and water equivalent will be made by direct measurement and remote sensing  
716 where feasible.

717

718

719



720 **How are flora and fauna responding to changes in biotic and abiotic conditions,**  
721 **and what are the impacts on ecosystem structure and function?**

722  
723 **Rationale** – Long-term satellite remote sensing data records indicate that vegetation  
724 characteristics in undisturbed areas of the WNAABR are undergoing directional change at  
725 regional and in some cases, pan-Arctic scales. In response to climate warming, some regions  
726 have been increasing in productivity (greening), while other regions have experienced reduced  
727 productivity and increased mortality (browning). The same satellite sensors are revealing that  
728 at the pan-Arctic scale, growing seasons are lengthening primarily because warmer springs alter  
729 freeze-thaw dynamics and advance spring snowmelt and onset of plant growth. Climate-  
730 sensitive disturbance regimes in the WNAABR are intensifying, including those associated with  
731 wildfire, biotic disturbance agents, and thermokarst activity. These, too, are altering vegetation  
732 characteristics by initiating successional processes, altering the age structure of ecosystems on  
733 the landscape, and changing the composition of dominant species and growth forms. Overlain  
734 on these major trends in vegetation are more subtle changes revealed by repeat aerial  
735 photography and long-term, ground-based ecological and paleo-ecological records. These  
736 include shifts in the geographic ranges and / or dominance of species and growth forms that  
737 alter ecosystem structure and function, interactions with disturbance agents, and feedbacks to  
738 climate. Finally, human activities related to resource extraction are having increasing local and  
739 regional impacts on vegetation characteristics as cold regions become more accessible and the  
740 economic imperative for both global and local energy sources increases. The main drivers of all  
741 of these changing vegetation characteristics include the abiotic conditions associated with  
742 climate change (including arctic sea ice dynamics) and altered disturbance regimes. However,  
743 there are many aspects of these concurrent changes in WNAABR vegetation that are not yet  
744 well understood, including the degree of interaction between the underlying processes driving  
745 them, and how they feedback on climate, disturbance regimes, and anthropogenic activities.

746  
747 Even less well understood is the degree to and mechanisms by which organisms at higher  
748 trophic levels exhibit top-down control over the WNAABR's changing vegetation characteristics  
749 – and vice-versa – how changing vegetation impacts WNAABR fauna. Faunal influences on  
750 WNAABR ecosystem form and function include, but are not limited to, rodents altering cycles of  
751 tundra productivity that are detectable from satellite greening records, insect infestations  
752 defoliating large areas of boreal forest, and large mammal grazing that inhibits woody shrub  
753 productivity, alters secondary succession following wildfire or inhibits northward treeline  
754 advancement. A wide range of resident and migratory fauna depend on the unique habitat  
755 provided by the WNAABR for food and shelter. As a result of the aforementioned changes in  
756 WNAABR vegetation, the biophysical, compositional and temporal characteristics of wildlife  
757 habitats are being altered, and this is proving to have a variety of consequences for dependent  
758 fauna. For example, increasing woody shrub dominance in arctic tundra has been associated  
759 with greater overall abundance of songbirds with simultaneous shifts in community species  
760 composition. In addition, trophic mismatches are developing between WNAABR flora and  
761 fauna, such as caribou, as the advancement of vegetation phenology outpaces the rate at which

762 these animals are able to adjust the timing of their nutritional requirements, which has led to  
763 major decline in their reproductive success.

764  
765 Satellite remote sensing records have also revealed significant and contrasting trends in surface  
766 water extent within the WNAABR, with widespread and consistent increases in surface water  
767 inundation (wetting) occurring in zones of continuous permafrost, but drying trends in regions  
768 of sporadic/isolated permafrost. Similar to observed trends in vegetation growing season  
769 lengths, ice-cover duration on lakes and streams is shortening as a result of changes to freeze-  
770 thaw dynamics. In addition, there is recent evidence that tundra stream reaches are drying up  
771 in late summer. These changed patterns of ice cover, wetting and drying are likely to alter  
772 habitat availability and quality for the WNAABRs aquatic and semi-aquatic fauna, including  
773 birds, fish, mammals, and invertebrates. Every spring, millions of shorebirds, ducks, geese,  
774 loons and swans migrate to the WNAABR to breed, raise their young and feed in wetlands.  
775 Fresh water fish inhabit lakes and streams, and move between spawning and overwintering  
776 areas via stream networks. Beavers are a semi-aquatic and critical keystone species of the  
777 boreal forest, and thus changes in their habitat quality will likely have cascading impacts on  
778 ecosystem form and function

779  
780 Humans, in addition to being drivers of change, are also responding to changes in the flora and  
781 fauna with respect to the ecosystem services they provide. People both within and beyond the  
782 WNAABR rely on the natural resources of this region for a range of cultural, spiritual,  
783 recreational, and subsistence activities. As a result, changes to the flora and fauna of WNAABR  
784 terrestrial and aquatic ecosystems will have a variety of cascading effects on the ecosystem  
785 services that society depends upon.

786  
787 It is largely unknown which faunal species will be able to adapt and be resilient to the many  
788 biotic and abiotic changes occurring in the WNAABR, yet the resulting changes in both plant-  
789 animal and fresh water-animal interactions will strongly influence the response of ecosystem  
790 form and function. Further, because the WNAABR is relatively low in floral and faunal species  
791 diversity compared to temperate and tropical ecosystems, they likely have low functional  
792 redundancy – i.e. only one or very few species perform a given ecological role - leaving  
793 WNAABR ecosystem functions particularly vulnerable to the loss of individual and groups of  
794 species. Studies are needed that incorporate interactions among organisms at all trophic levels  
795 and examine their communal and interacting responses so that their collective impacts of  
796 ecosystem form and function can be quantified.

797  
798 **Key Research** – Research to address this question will include ecosystem-, landscape- and  
799 regional-scale observations of vegetation characteristics and surface water extent derived from  
800 remotely sensed data, as well as observations to assess changes in terrestrial and aquatic  
801 growing season length (e.g. visible, infrared, and microwave data). While satellite data are  
802 needed to assess long-term trends at scales of 30 to 5000 m, airborne data may be required to  
803 collect data not available from satellite systems (in particular LiDAR and hyperspectral data) to  
804 provide observations of vegetation and surface characteristics at finer spatial scales (1 to 10 m).  
805 Assessing factors controlling vegetation characteristics, surface water extent, and growing



806 season length will also require geospatial data on climate (air temperature, relative humidity,  
807 precipitation, climate indices), ice cover, burned area metrics, spatial distribution of biotic  
808 disturbance agents, resource extraction sites, active layer thickness, ground temperature, soil  
809 moisture, topography and soils, with many of these observations being provided using remotely  
810 sensed data. Regional-scale observations of spatial and temporal dynamics in wildlife habitat  
811 could include satellite (e.g. using ARGOS) and / or airborne and telemetry tracking of tagged or  
812 observed animals. Ground-based, plot level observations stratified across different tundra and  
813 boreal ecoregions/subzones, vegetation community types, burn scar properties, and wildlife  
814 habitats and migratory corridors will be required. Ground observations will also be necessary to  
815 gain a mechanistic understanding of the interactions and feedbacks among abiotic and biotic  
816 changes that together result in net changes in ecosystem form and function. Refinement of  
817 dynamic vegetation models will be needed to more realistically depict the interactions between  
818 the abiotic and biotic controls on terrestrial ecosystems, including both flora and fauna.

819  
820 CH<sub>4</sub> and their isotopic signatures using aircraft and tall towers; and (d) the remotely sensed  
821 data at the landscape to regional scales needed to quantify spatial and temporal variations in  
822 factors regulating changes to soil organic carbon. Isotopic signatures of relevant gases are  
823 particularly important, because they help constrain flux source. For example, radiocarbon  
824 measurements permit estimation of CO<sub>2</sub> age, and hence the age of its source, and <sup>13</sup>C,  
825 deuterium, and <sup>18</sup>O measurements help identify biotic vs. abiotic CO<sub>2</sub> and CH<sub>4</sub> production and  
826 consumption processes and transport pathways, and hydrologic influences on SOC  
827 destabilization.

828  
829 Modeling activities should consider on-going developments from other research, with particular  
830 attention paid to scaling with remotely sensed data. For example, a robust spatial  
831 representation of vegetation cover of the WNAABR is critical. This is a particularly valuable  
832 approach given apparent, recent boreal forest encroachment northward, and shrub  
833 encroachment into tussock tundra. Coupling soil C to vegetation cover can help understand the  
834 consequences of land cover changes induced directly or indirectly by future climatic regimes.  
835 Remotely sensed data can also be employed to characterize disturbances, seasonal patterns of  
836 soil moisture and freeze / thaw dynamics, permitting investigators to develop linkages among  
837 abiotic conditions, land cover, microbial resource availability, and SOC transformations.  
838 Remotely sensed soil moisture and vegetation data, when used in conjunction with soil nutrient  
839 status, can also be used to establish linkages between nutrient availability, microbial activity,  
840 and primary production.

841  
842 **How is the magnitude and fate of soil organic carbon pools changing, and what**  
843 **are the processes controlling the rates of those changes?**

844  
845 **Rationale** - The WNAABR contains a significant fraction of Earth's soil organic carbon (SOC)  
846 reservoir. The processes resulting in the formation of and changes to this reservoir represent an  
847 important ecosystem service in terms of long-term regulation of the earth's climate through  
848 removal and storage of a significant amount of atmospheric carbon. Presently, changes in the

849 climate are destabilizing deeper pools of SOC in the WNAABR that have resided in soil profiles  
850 for hundreds to thousands of years, as well as accelerating the turnover of more labile SOC  
851 pools. This is particularly important in regions experiencing rapid permafrost warming and  
852 degradation, where SOC has previously remained stable due to low temperatures. However,  
853 destabilization of slow-turnover SOC is also an important feature of non-permafrost profiles,  
854 especially peatlands, where stabilization mechanisms of SOC may be more strongly linked to  
855 processes of formation of deep organic soil horizons. Finally, disturbance from fires plays an  
856 important role in SOC cycling either directly reducing organic soils through combustion or by  
857 changing ambient conditions. Understanding the complex interactions that contribute to the  
858 vulnerability of Northern High Latitude soil carbon stocks represents a major research  
859 challenge.

860  
861 As the size of the WNAABR soil carbon pool is estimated to be more than twice that contained  
862 in the atmosphere, there is significant concern about its potential to feedback to climate  
863 through production of two key greenhouse gases: CO<sub>2</sub> and CH<sub>4</sub>. Ongoing data collections for  
864 NASA's CARVE mission are showing that variations in boundary layer concentrations of CO<sub>2</sub> and  
865 CH<sub>4</sub> exhibit complex, emergent patterns at large spatial scales that cannot be readily predicted  
866 from ground-based measurements of these trace gasses. Simultaneous with enhanced SOC  
867 destabilization, climate changes are driving changes in disturbance regimes along with shifts in  
868 vegetation, soil temperature, and the hydrological cycle that can alter rates of heterotrophic  
869 respiration and SOC production. Which of these factors dominates the biogeochemical  
870 processes regulating C cycling in the WNAABR, what are the processes that drive their  
871 importance, and over what timescales they are most relevant remain unclear. Because these  
872 dynamics and their interactions ultimately drive important WNAABR feedbacks to climate,  
873 research is needed to provide a greater understanding of the production, transformations, and  
874 fate of SOC.

875  
876 Research addressing SOC stabilization and destabilization must involve studies at multiple  
877 temporal and spatial scales. The ultimate drivers of releases of soil organic matter carbon  
878 through heterotrophic respiration – enzymes secreted by microorganisms – function in  
879 accordance with the biochemical properties of substrates and enzymes, as well as the physical  
880 characteristics of the environment. The microbes that demand the resources liberated upon  
881 substrate decay produce these secreted enzymes in response to competitive dynamics among  
882 microbial populations. A fraction of the C they take up can be allocated to CO<sub>2</sub> or, for  
883 methanogens, CH<sub>4</sub>. Investigators typically measure the fluxes resulting from the complex  
884 interplay of biochemistry and ecology at various spatial scales using chambers, flux towers, flask  
885 measurements, and airborne systems.

886  
887 In High Northern Latitude ecosystems, CO<sub>2</sub> and CH<sub>4</sub> fluxes are regulated by disturbances and  
888 hydrologic and permafrost processes that can readily be monitored using remotely sensed data,  
889 in particular, patterns of disturbance and disturbance severity, freeze/thaw cycles, and  
890 variations in vegetation cover, soil temperature and moisture, active layer depth, area of small  
891 lakes and ponds, and levels of inundation in wetlands. However, a key challenge currently  
892 hindering progress in more accurate predictions of soil microbial gas fluxes using information

893 derived from airborne and satellite remote sensing systems is the lack of mechanistic models  
894 validated against large-scale remote measurements of state variables in the WNAABR. In  
895 addition to gaseous efflux of C to the atmosphere, carbon also can be liberated from these  
896 ecosystems into water and transported as particulate organic carbon (POC), dissolved inorganic  
897 carbon (DIC), and dissolved organic C (DOC) to streams, ponds, lakes and eventually to the  
898 coastal regions, where it can be buried or become available for decomposition to a different  
899 microbial community and potentially emitted to the atmosphere.

900  
901 Only recently have researchers begun to incorporate critical drivers of microbial activity such as  
902 nutrient availability and substrate stoichiometry into models. Any research strategy must  
903 promote the development of empirical and theoretical modeling studies that link disciplines as  
904 diverse as biochemistry, microbial ecology, and biogeochemistry to broader-scale observations  
905 made from remotely-sensed data. In addition, these modeling studies need to capture the  
906 complex interactions that drive variations in the abiotic environment that control soil C,  
907 especially those focused on interactions between biota, hydrology, permafrost, and  
908 disturbances.

909  
910 **Key Research** – Research to improve understanding of the factors controlling the vulnerability  
911 of soil organic carbon will employ landscape- and regional-scale observations of land cover  
912 classes, hydrological and C cycles, and other observations of state variables such as changes to  
913 permafrost. Where time series of state variables and ecological data are not obtainable, it will  
914 be necessary to include research based on space-for-time substitutions as a means of predicting  
915 future SOC stabilization and destabilization trends. Biogeochemical and ecological data  
916 needed from spatially disparate scales. These include: (a) observations of critical microbial  
917 processes and edaphic and abiotic features at the plot scale (i.e. nutrients, quantity and  
918 stoichiometry of soil inputs, moisture, pH, stable isotopes of SOC, dissolved species and trace  
919 gases, hydrologic connectivity or transport); (b) flux tower data quantifying meso-scale energy  
920 and fluxes of CO<sub>2</sub> and CH<sub>4</sub> and the isotopic signatures of these gases' fluxes; (c) large-scale flux  
921 observations of CO<sub>2</sub> and CH<sub>4</sub> and their isotopic signatures using aircraft and tall towers; and (d)  
922 remotely sensed data at the landscape to regional scales to understand patterns of  
923 biogeochemical fluxes across land cover classes as a function of time since disturbance where  
924 needed. Isotopic signatures of relevant gases are particularly important, because they help  
925 constrain flux source. For example, radiocarbon measurements permit estimation of CO<sub>2</sub> age,  
926 and hence the age of its source, and <sup>13</sup>C, deuterium, and <sup>18</sup>O measurements help identify biotic  
927 vs. abiotic CO<sub>2</sub> and CH<sub>4</sub> production and consumption processes and transport pathways, and  
928 hydrologic influences on SOC destabilization.

929  
930 Modeling activities should consider on-going developments from other research, with particular  
931 attention paid to scaling with remotely sensed data. For example, a robust spatial  
932 representation of spatial and temporal variations in vegetation cover of the WNAABR is critical.  
933 This is a particularly valuable approach given recent boreal forest encroachment northward,  
934 and shrub encroachment into tussock tundra. Coupling soil C to vegetation cover can help  
935 understand the consequences of land cover changes induced directly or indirectly by future  
936 climatic regimes. Remotely sensed data should also be employed to characterize seasonal

937 patterns of snow cover, soil moisture and inundation, changes in lake area, and freeze / thaw  
938 dynamics, permitting investigators to develop linkages among abiotic conditions, land cover,  
939 microbial resource availability, and SOC transformations. Remotely sensed soil moisture and  
940 vegetation data, when used in conjunction with soil nutrient status, can also be used to  
941 establish linkages between nutrient availability, microbial activity, and primary production.

942  
943

## 944 **Synthesis and Integration**

945

946 **Rationale** – The previous sections of this chapter present the rationale and key research to be  
947 carried out during ABoVE. This research will address critical uncertainties in the response of  
948 WNAABR social-ecological systems to climate and environmental change. Table 3.1 presents  
949 the research objectives associated with each of the six thematic questions. While some of these  
950 objectives will require research specific to a single-thematic area (i.e., mapping severity of  
951 insect damage using remotely sensed data), many of the objectives in Table 3.1 are cross-  
952 cutting in nature (representing refinements of the overarching research objective for ABoVE),  
953 requiring a research strategy that targets complex interactions, including a well-orchestrated  
954 plan for synthesis and integration of the studies of the various processes that influence social-  
955 ecological systems in the WNAABR. In addition, research across the disciplinary themes will be  
956 required to provide the knowledge needed to understand the consequences of climate and  
957 environmental change on society, the ways that society is changing, and how it can respond in  
958 the future to these changes.

959

960 As is emphasized throughout this experiment plan, changes in ecosystem structure and  
961 function in the WNAABR have varied consequences for services provided by these ecosystems  
962 to human societies depending on the rate, variability, and magnitude of these changes in space  
963 and time. Because the response of ecosystems depend on complex interactions among the  
964 dynamics of people, permafrost, hydrology, disturbance regimes, and ecosystem processes,  
965 ABoVE must develop a framework for integration and synthesis that will facilitate the ability to  
966 (1) project trajectories of change in ecosystem structure and function in the WNAABR over  
967 decadal time scales, (2) estimate the potential impacts of trajectories on the services provided  
968 to society, (3) assess the consequences of changes in services for human societies, and (4)  
969 understand how societal responses to these consequences feedback to the social-ecological  
970 system.

971

972 **Key Research** – At the heart of addressing the ABoVE research objectives is the need to develop  
973 models of ecosystem structure and function that integrate and synthesize understanding on the  
974 dynamics of people, permafrost, hydrology, disturbance regimes, and ecosystem processes. In  
975 addition, some issues may also require the development of impact models and human  
976 consequence models. Research carried out as part of ABoVE needs to promote the  
977 development of a diversity of conceptual frameworks that are collectively capable of addressing  
978 a broad range of assessment issues relevant to the WNAABR. The design of these conceptual  
979 frameworks must clearly identify their scope and intended use. Key issues that need to be  
980 addressed in the design of conceptual frameworks for integration and synthesis include: (1)

981 connectivity among processes in the framework; (2) description of processes in the framework;  
982 (3) model parameterization; (4) model initiation; (5) model verification (reproducing data used  
983 in model development; (6) model validation (evaluation of model quality for independent data  
984 not used in model development); (7) model analysis (sensitivity/uncertainty analyses); and (8)  
985 collection and/or compiling the data needed to drive model application. The design of  
986 conceptual frameworks will need to elucidate how information that will be forthcoming from  
987 ABoVE research, as well as information available from other research efforts in the WNAABR,  
988 will be used address each of these issues. There are challenges cutting across these issues that  
989 need to be addressed, including scaling and model-data fusion. Finally, a major challenge is to  
990 bring together a collaborative team with the expertise and focus to successfully bring an  
991 integration and synthesis conceptual framework to fruition through the design,  
992 implementation, and application phases within a defined time window.

993  
994

**Table 3.1 Research Objectives for ABoVE in the Six Thematic Areas**

Question	Objectives	
	Thematic	Complex Interactions
How are environmental changes affecting natural and cultural resources, human health, infrastructure, and climate regulation, and how are human societies responding?	Identify and map vulnerable and resilient social-ecological systems over time, with a focus on human responses and adaptation to changes in ecosystem services.	<p>Assess how future climate warming is likely to affect infrastructure and transportation networks in the ABR.</p> <p>Determine how increases in the frequency and severity of press and pulse disturbances influence water quality and release and transport of contaminants in the ABR.</p> <p>Evaluate how changes to ABR ecosystems will influence subsistence opportunities.</p> <p>Analyze how changes to natural and cultural resources will impact local communities as well influence land management policies and practices.</p> <p>Determine the sources of variations in climate feedbacks from WNAABR ecosystems and assess the potential for future changes to climate regulating services.</p> <p>Determine the degree to which the changing environment in the ABR coupled with altered human activities results in tradeoffs and/or synergies between different ecosystem services.</p>
What processes are contributing to changes in WNAABR disturbance regimes and what are the impacts of these changes?	Determine the controls on the spatial and temporal patterns of the primary natural disturbance regimes in the WNAABR (fire, biotic disturbances, rapid permafrost thaw).	Understand the consequences of variations in disturbance regimes for ecosystems and landscapes.
What are the changes in the distribution and properties of permafrost in the WNAABR and what is controlling those changes?	Identify the primary factors driving permafrost vulnerability / resiliency to thaw.	Improve understanding of how landscape-scale variations in air temperature, snow cover, disturbance, surface hydrology, soil properties, and vegetation cover interact to control the distribution of

		permafrost and permafrost degradation across the WNAABR.
What are the causes and consequences of changes in the amount, temporal distribution, and discharge of surface and subsurface water in the ABR?		<p>Improve understanding of how rapid (fire) and longer-term (permafrost thaw; earlier spring melt) disturbances affect the ABR hydrologic system.</p> <p>Assess the impact of projected and observed changes in water discharge, storage, and hydraulic connectivity on materials exports in the ABR.</p> <p>Improve understanding of how changes to the hydrologic system affect ecosystem structure and function.</p>
How are WNAABR flora and fauna responding to changes in biotic and abiotic conditions, and what are the impacts on ecosystem structure and function?	Identify and understand the combination of factors driving longer-term temporal and spatial changes in vegetation characteristics, including habitat quality, productivity and extent, as observed in the satellite data record.	<p>Determine to what degree variations in WNAABR disturbance regimes are driving direct and indirect changes at both the ecosystem and landscape-scale, including successional rates and pathways within ecosystems, age and compositional structure, and plant-animal interactions.</p> <p>Document how changes in vegetation characteristics, surface water extent, and/or changes in faunal communities influence ecosystem processes and services.</p>
How is the magnitude and fate of soil organic carbon pools in the WNAABR changing, and what are the processes controlling the rates of those changes?	Reduce uncertainties in destabilization rates of slow- to fast-turnover SOC pools through collection of data at multiple-scales across a range of permafrost and non-permafrost profiles in the WNAABR.	Understand how SOC stabilization may change in a future climate by assessing contributions of changes in above ground biomass, microbial activity, permafrost, hydrology, and disturbance to changes in SOC in a diversity of soil profiles in the WNAABR.